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| •      | E                               |   | ATTORNEY DOCKET NO.: 2001P12800US01   |
| ICT 11 | 2005 🕏 ).                       | IN THE UNITED STATES F  | PATENT AND TRADEMARK OFFICE   |
| ARABEM | Inventor(s):                    | Tai, et al.<br>10/705,795   | CERTIFICATE OF EXPRESS MAIL, MAILING OR FACSIMILE TRANSMISSION  |
|        | Filing Date:                    | 11/06/2003  | The undersigned hereby certifies that this correspondence is being deposited under Express Mail Label No on the date given below; or  |
|        | Title:                          | STRUCTURE DEFINING MATERIALS FOR OLEDS  | ☑ being deposited under 37 CFR 1.8 with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  |
|        | Examiner:                       | Dawn L. Garrett   | 1450 on the date given below, or ☐ being facsimile transmitted to the U.S. Patent and Trademark   |
|        | Art Unit:                       | 1774  | Office at the fax number and date given below: Facsimile Number: 703- No. of Pages: 1DS + Form 1449 + References ( total pages). Date of Deposit or Transmission: 10 - 0  |
|        | 001414100101                    | JED 500 0 1751/70   | Typed Name. Medder C. West  |
| ٩      | P.O. Box 1450<br>Alexandria, VA |   |   |
|        |                                 | INFORMATION DISCLO  | OSURE STATEMENT   |
|        | Sir:                            | nformation Disclosure Statement is sul  |   |
|        | · 🖂                             | under 37 CFR 1.97 (b),  | ornited.  |
|        |                                 | (Within three months of either  the prosecution application under 37 CF international application; or  before   | e filing date of a national application other than a continued R 1.53(d) or ☐ the date of national stage entry of e mailing date of first office action on the merits; or ☑ before ter filing of a request for continued examination under 37   |
|        | ;                               | under 37 CFR 1.97 (c) together with Certification under 37 CFR 1.97 the \$ 180.00 fee under 37 CFR 2179. At any time during the pendecredit any overpayment to Deposit A (After the 37 CFR 1.97 (b) time period CFR 1.113, or ☐ a notice of alloward closes prosecution). | either a:  (e), <b>OR</b> 1.17 (p) authorized to be charged to Deposit Account <u>19-</u> ency of this application, please charge any fees required or account <u>19-2179</u> pursuant to 37 CFR 1.25.  od, but before mailing date of any of a final action under 37 accounder 37 CFR 1.311, or an action that otherwise |
|        |                                 | any overpayment to Deposit Account  | a: (e), <b>AND</b> 1.17(p) authorized to be charged to Deposit Account 19-2179 f this application, please charge any fees required or credit t 19-2179 pursuant to 37 CFR 1.25. bd, but  on or  before payment of the issue fee).   |
|        |                                 | under 37 CFR 1.97 (i)   | 97 (d) or not in compliance with 37 CFR 1 98 - No fee or  |

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:

| together with hard copies of all references (e.g. if this application was filed before June 30, 2003); or with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or without hard copies of publications or other information which have been submitted previously in the parent application (application no. ) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

| 37 CFR 1.97(e) Certifications:   |   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| Contained in the information disclosure statement was t  | The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information ne information disclosure statement was first cited in a communication from a foreign patent office foreign application not more than three months prior to the filing of the information disclosure |  |  |  |  |  |
| The undersigned certifies under 37 CF information disclosure statement was cited in a commu foreign application, and, to the knowledge of the undersign application.   | signed after making reasonable inquiry, no item of<br>ement was known to any individual designated in 37 CER.   |  |  |  |  |  |
| A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on the attached separate sheet or incorporated in the applicant's specification or by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c). |   |  |  |  |  |  |
| Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25 A duplicate copy of this sheet is enclosed.  |   |  |  |  |  |  |
| The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.   |   |  |  |  |  |  |
| PLEASE MAIL CORRESPONDENCE TO:   | Respectfully submitted,   |  |  |  |  |  |
| Siemens Corporation  | The Dwge  |  |  |  |  |  |
| Customer No. 28524 Attn: Elsa Keller, Legal Administrator 170 Wood Avenue South Iselin, NJ 08830   | Thomas George, Reg. No. 45,740 Attorney(s) for Applicant(s) Telephone: 650-694-5191 Date: Otto 5,2005   |  |  |  |  |  |
|  | <i>,</i>  |  |  |  |  |  |

Substitute for Form 1449A/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 **Sheet** 1 of

| Complete if Known      |                |  |  |  |  |
|------------------------|----------------|--|--|--|--|
| Application Number     | 10/705,795     |  |  |  |  |
| Filing Date            | 11/06/2003     |  |  |  |  |
| First Named Inventor   | Tai            |  |  |  |  |
| Group Art Unit         | 1774           |  |  |  |  |
| Examiner Name          | Dawn Garrett   |  |  |  |  |
| Attorney Docket Number | 2001P12800US01 |  |  |  |  |

|                       |  |                      |  | U.S. PATENT DOCUMEN                             | 15                           |  |
|-----------------------|--|----------------------|--|---|------------------------------|--|
|                       | 1 an L   | U.S. Patent Document |  |   | Date of Publication of       | Pages, Columns, Lines,                                   |
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup>                         | Number               | Kind Code <sup>2</sup><br>(if known)             | Name of Patentee or Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |  | 5,276,380            |  | Ching W. Tang                                   | 01/04/1994                   |  |
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| FOREIGN PATENT DOCUMENTS |              |                         |                     |                                      |  |                           |  |         |
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| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document |                     |                                      |  | Date of Publication of    | Pages, Columns, Lines,                                   | T       |
|                          |              | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Name of Patentee or Applicant of<br>Cited Document | Cited Document MM-DD-YYYY | Where Relevant<br>Passages or Relevant<br>Figures Appear | ⊤⁵      |
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|                          |              |                         | EP 0910128          |                                      | Herbst, Waltraud, et al.                           | 04/21/1999                |  |         |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the

number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST.

possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-